

Docket No.: 13311-00009-US

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Markus Matuschek et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: Concurrently Herewith

Art Unit: N/A

For: METHOD FOR PRODUCING

CAROTENOIDS OR THEIR PRECURSORS

USING GENETICALLY MODIFIED ORGANISMS OF THE BLAKESLEA GENUS, CAROTENOIDS OR THEIR PRECURSORS PRODUCED BY SAID

METHOD AND USE THEREOF

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

The documents listed on the attached SB/08 are the documents cited in an International Search Report during the prosecution of international application no. PCT/EP2004/000099, which corresponds to the above referenced application. In accordance with 37 CFR 1.97(b)(2),

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JC14 Rec'd PCT/PTO 08 JUL

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Applicants hereby submit these documents for the Examiner's consideration. A copy of the International Search Report and the International Preliminary Examination Report are enclosed with this application. A copy of each reference on the PTO/SB/08 required under 37 CFR 1.98(a)(2) is enclosed.

This statement is not to be interpreted as a representation that the cited documents are material, that a search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed per se as a representation that such document is prior art. Moreover, Applicants understand the Examiner will make an independent evaluation of the cited documents.

Applicants believe no fee is due with this paper. However, if a fee is due, the Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 13311-00009-US from which the undersigned is authorized to draw.

Respectfully submitted,

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PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0551-0031

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Substitute for form 1449A/B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known		
				Application Number	Not Yet Assigned	
				Filing Date	Concurrently Herewith	
				First Named Inventor	Markus Matuschek	
				Art Unit	N/A	
(Use as many sheets as necessary)			s necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	13311-00009-US	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-2002/0051998 A1	05-02-2002	Schmidt-Dannert et al.		
	AB	US-6,413,736 B1	07-02-2002	Jacobson et al.		

FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>	
	BA	WO-98/03480 A1	01-29-1998	Gist-Brocades B.V.			
	BB	WO-98/46772 A2	10-22-1998	Gist-Brocades B.V.			
	ВС	WO-98/50574 A1	11-12-1998	Gist-Brocades B.V.			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\)Applicant's unique citation designation number (optional). \(^2\)See Kinds Codes of USPTO Patent Documents at \( \frac{\text{www.uspit.opt}}{\text{opt}} \) or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\)For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\)Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		Lee, P. C. et al., "Metabolic Engineering Towards Biotechnological Production Of Carotenoids In Microorganisms", Appl. Microbiol. Biotechnol. 60 (2002), pp. 1-11.				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner	Date	
Signature	Considered	

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.